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THE UNITED STATES PATENT AND TRADEMARK OFFICE

2001 SEP 24 AM 10:22

#5/Reg for
Refund
10-05-01

In Re Application of: INGANAS ET AL.

Serial No.: 09/720,259

US PATENT & TRADEMARK
OFFICE
Group Art Unit: 1733

Filed: February 2, 2001

For: METHODS FOR PATTERNING POLYMER FILMS, AND USE OF THE METHODS

REQUEST FOR REFUND

The Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

In the above identified case, deposit account 06-1358 has been improperly debited. By this paper, a credit to deposit account 06-1358 is requested. An explanation of the debiting error is set out below, and copies of all documents in support of this request are attached.

Explanation of Error:

- ☐ Large Entity fee charged to Small Entity
- ☐ Fee should not have been charged to PTO account because it was paid by check
- ☒ PTO miscalculation
- ☐ Unknown and unidentifiable PTO charge

Type of Charge and Amount of Refund Requested:

☐ Filing fee \$ _____

<input type="checkbox"/> Extension of Time	\$ _____
<input type="checkbox"/> Appeal/Interference fee	\$ _____
<input type="checkbox"/> Petition fee	\$ _____
<input type="checkbox"/> Issue fee	\$ _____
<input type="checkbox"/> Maintenance surcharge (late fee)	\$ _____
<input type="checkbox"/> Maintenance fee	\$ _____
<input checked="" type="checkbox"/> Other (see comments)	\$ <u>180.00</u>

TOTAL REFUND REQUESTED \$ 180.00

Comments:


In accordance with 37 CFR §1.97 (b)(3), an Information Disclosure Statement was filed on July 19, 2001, prior to the mailing of a first Office Action. Accordingly, the \$180.00 fee was incorrectly debited to our deposit account no. 06-1358.

Attached Documents:

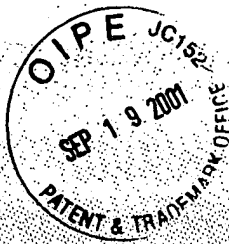
☒ Deposit account statement (highlighted)
☒ Copy of Information Disclosure Statement dated July 19, 2001
☒ Copy of date stamped postcard

Respectfully submitted

JACOBSON HOLMAN, PLLC

By 
Jonathan L. Scherer
Registration No. 29,851

400 Seventh Street, N.W.
Washington, DC 20004
(202) 638-6666
Atty. Dkt. No.: P66218US0
Date: September 19, 2001
JLS/dmt



JH Ref P66218USØ Today's Date 7-19-01

Serial/Patent No. 09/720,259

Applicant, Patentee, Assignee INGANAS et al

Filing Date/Patent Date 2.2.01

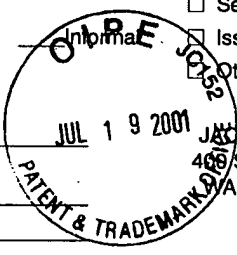
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> _____ pp. Specification & _____ Claims	<input type="checkbox"/> Response to Office Action
<input type="checkbox"/> Combined Declaration, Power of Attorney	<input checked="" type="checkbox"/> Disclosure Statement-IDS
<input type="checkbox"/> Preliminary Amendment	<input checked="" type="checkbox"/> Copies of References
<input type="checkbox"/> Rule 53 (b) Application	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Rule 53 (d)/RCE Application	<input type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Claim to Priority and Certified Copy	<input type="checkbox"/> Petition for XOT
<input type="checkbox"/> Substitute <input type="checkbox"/> Subsequent Declaration	<input type="checkbox"/> Notice of Appeal/Brief
<input type="checkbox"/> Maintenance Fee	<input type="checkbox"/> Sequence Listing
<input type="checkbox"/> Drawings _____ Sheets _____ Formal _____ Informal _____	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Assignment/Change of Name	<input type="checkbox"/> Other _____
<input type="checkbox"/> Small Entity Declaration	
<input type="checkbox"/> Check for \$ _____	

JH 5/01 st DUE DATE _____

Person filing st

JACOBSON HOLMAN PLLC
408 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004





PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

INGANAS et al.

Serial No.: 09/720,259

Group Art Unit: 1733

Filed: February 2, 2001

For: METHODS FOR PATTERNING POLYMER FILMS, AND USE OF THE METHODS

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner
of Patents and Trademarks
Washington, DC 20231

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

The patents and publications listed on Form PTO-1449 which are not in English were cited in a Search Report issued in a counterpart Norwegian patent application. A copy of the Search Report is attached.

In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits of the above-identified application. In the event this Information Disclosure Statement is filed after the mailing date of a first Office Action on the merits

but before the mailing date of either a Final Action under 37 CFR §1.113 or a Notice of Allowance under 37 CFR §1.311, please charge the fee of \$180.00, as set forth in 37 CFR §1.17(p), to Deposit Account No. 06-1358. A duplicate copy of this paper is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By:

Jonathan L. Scherer
Reg. No. 29,851

400 Seventh Street, N.W.
Washington, DC 20004
(202) 638-6666
Atty. Dkt. No.: P66218US0
Date: July 19, 2001



JACOBSON HOLMAN PLLC
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P66218US0
SERIAL NO.: 09/720,259
APPLICANT(S): INGANAS et al

GROUP ART UNIT: 1733
FILING DATE: February 2, 2001
Date: July 19, 2001

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (If Appropriate)
AA	5,358,604	10/1994	LIN ET AL.			
AB	5,512,131	4/1996	KUMAR ET AL.			
AC						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR RD 419100 A (INT. BUSINESS MACHINES CORP) 20 FEBRUARY 1999
Contact Inking Stamps for Microcontact Printing Alkanethiois on Gold

AS GUPTA, V.K. ET AL. Design of Surfaces For Patterned Alignment of Liquid Crystals on Planar And Curved Substrates. SCIENCE JUNE 1997, VOL. 276, 5318 PP. 1533-1536, ISSN 0036-8075

AT AKSAY, I.A. ET AL. Biomimetic Pathways For Assembling Inorganic Thin Films, SCIENCE, AUGUST 1996, VOL. 273 5277 PP. 892-897, ISSN 0036-8075

AU ZHAO, X-M "Microfabrication using soft lithography (waveguides, self assembled monolayers, surface defects, shrinkable polystyrene, etching)", 1998, Vol. 59/10-B of dissertation abstracts international side 5538. 234 sider. (sammendrag) Dissertation Abstracts Online. Bell & Howell Information and Learning, 300 North Zeeb Road, Ann Arbor, MI...

AV XIA, Y. "Soft Lithography: Micro- and nanofabrication based on micro contact printing and replica molding". 1996, Vol 57/10-B of dissertation abstracts international side 5538. 307 sider. Dissertation Abstracts Online. Bell & Howell Information and Learning...

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

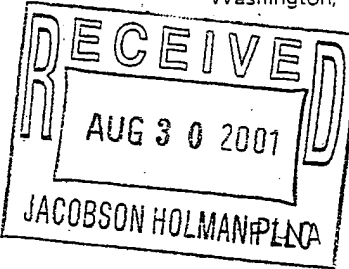


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
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Account No.	061358
Date	7-31-01
Page	1

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WASHINGTON DC 20004

PLEASE SEND REMITTANCES TO:
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Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
7	2	01	4	09210399 JCH	P62166USO	202	96.3243 USO	1752.74
7	2	01	6	09147675 JMA	P63379USO	117		862.74
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7	3	01	36	29138736 JLS	P66370USO	704	-160.00	922.74
7	5	01	171	09068886 WSP	P62069USO	218	695.00	227.74
7	6	01	115	HBS 10772 - 09760715 DDP	P66327USO	126	180.00	47.74
7	9	01	17	09810330 JCH	P66486USO	581	40.00	7.74
7	10	01	2	09868908 JCH	P60998USO	962	100.00	92.2601
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7	10	01	7	09653199 JMA	P65788USO	126	-180.00	8637.74
7	11	01	144	09766677 HBS	P66217USO	581	40.00	8597.74
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7	13	01	4	09446902 HBS	P64134USO	704	-10.00	8552.74
7	13	01	97	09485930 WSP	P64774USO	216	195.00	8357.74
7	13	01	192	09147443 JCH	P63221USO	581	40.00	8317.74
7	13	01	577	PCT/US01/17507		704	-250.00	8567.74
7	16	01	2	09751308 JCH	P66270USO	105	-130.00	8697.74
7	16	01	26	09462093	P64154USO	216	195.00	8502.74
7	16	01	103	REPLENISHMENT		704	-6000.00	14502.74
7	16	01	281	440741		567	60.00	14442.74
7	18	01	1	08995537 JCH	P61785USO	117	870.00	13572.74
7	18	01	3	5795747 WSP	P58152A	145	100.00	13472.74
7	18	01	8	HBS 00079 09736177 DDP	P66196USO	126	180.00	13292.74
7	19	01	11	09465011 MBS	P64113USO	126	-180.00	13472.74
7	19	01	35	09338566 JCH	P60917USO	704	-355.00	13827.74
7	19	01	53	29121503 MBS	P65126USO	115	-110.00	13937.74
7	19	01	71	29138736 JLS	P66370USO	126	180.00	13757.74
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7	20	01	46	09857182 WSP	P66717USO	704	-140.00	13657.74
7	20	01	54	09623495 JCH	P65952USO	704	-485.00	14142.74
7	20	01	142	09720259 JLS	P66218USO	126	180.00	13962.74
7	20	01	143	HBS 00079 09720251 DDP	P66273USO	126	180.00	13782.74
7	20	01	342	09403603 WAIT	P64708USO	122	130.00	13652.74
7	24	01	1	08776047 JMA	P60784USO	122	-130.00	13782.74
7	24	01	38	09729834 JCH	P62229USO	704	-130.00	13912.74

AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
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